

CLEAR Meeting February 14, 2023

Hosted by Sponsored Research

Today's Agenda

- Announcements
- CERES
 - Training Preview
 - Cutover Plans
 - Awards
 - Datamart Update

Sponsored Research Staffing: Open Positions

- Contracts & Negotiations
 - Contracts & Negotiations Manager (#457422 - 2 openings)
- Awards Management
 - Awards Management Associate (#46565)
- Subcontracts Management
 - Assistant Sponsored Research Officer (#46271)
 - Compliance Specialist (#47115 – posting soon)

Upcoming NIH Due Dates

Due Date	Description
March 5* (Sunday)	<ul style="list-style-type: none">• R01 Research Grants• U01 Research Grants - Cooperative Agreements <i>renewal, resubmission, revision</i>
March 12* (Sunday)	<ul style="list-style-type: none">• K Series Research Career Development <i>renewal, resubmission, revision</i>
March 16	<ul style="list-style-type: none">• Other Research Grants and Cooperative Agreements (R03, R21, R33, R21/R33, R34, R36, U34, UH2, UH3, UH2/UH3) <i>renewal, resubmission, revision</i>
April 8* (Saturday)	<ul style="list-style-type: none">• F Series Fellowships Individual NRSAs (including F31 Diversity) <i>new, renewal, resubmission</i>
April 12	<ul style="list-style-type: none">• R13, U13 Conference Grants & Cooperative Agreements <i>All - new, renewal, resubmission, revision</i>

*Due dates will push to next business day: March 6, March 13, and April 10, respectively (all Mondays)

CERES

Agenda

- Training PreviewLia Floreno**
- Cutover TimelineKim Griffin**
- CERES Awards, Award Mod., and Award Mod. Requests OverviewAndrew Kienitz**
- Datamart Report InventoryRob Hartzler & Aditi Singru**

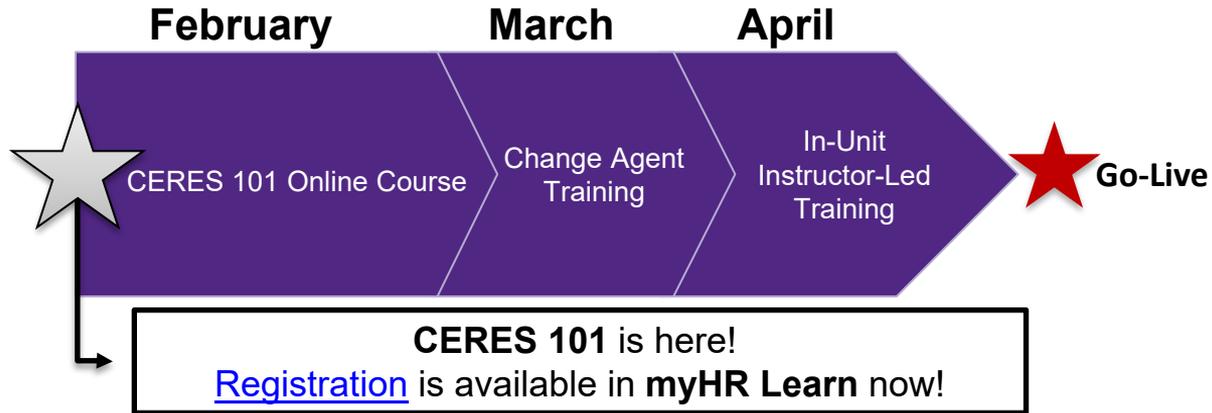
CERES Training Preview

Lia Floreno

Upcoming CERES Training

Northwestern staff will receive training through published materials staff can use on their own schedule, plus Instructor-Led Training Courses.

Training courses for our Change Network will begin shortly! Stay in touch with your unit Change Agent for details about training in your unit, which will take place in April leading up to the Go-Live.



Cutover Key Dates and Deadlines

Kim Griffin

Cutover: Key Dates

Last day to Submit Change Requests

Change Requests due to Sponsored Research by 5PM

2 Weeks Before

Last Date to Submit:

- Proposals for Review
- Subcontract Requests
- Agreement Requests

10 Days Before

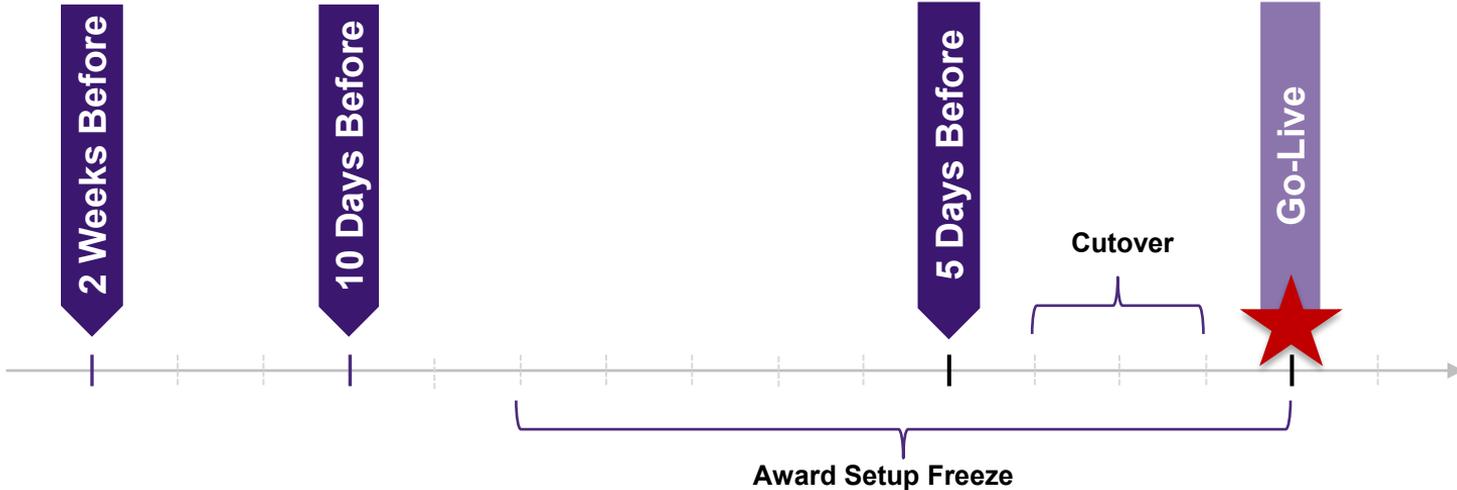
InfoEd becomes Read-Only

InfoEd will continue to be available for a fixed period of time

5 Days Before

Cutover

Go-Live



- SR will not accept any additional proposals after the 10 days before mark, subcontract requests, or agreements requests until CERES goes live.
- If RAs miss the deadline, they will need to re-enter data in CERES after Go-Live.
- Sponsored Research will review emergency requests on a case-by-case basis. Only urgent sponsor needs will be granted.

CERES Awards, Award Modifications, and Award Modification Requests Overview

Andrew Kienitz

Award Components in CERES

Award Workspace

Grants > Training and Technical Support to ICJIA Violence Prevention & Intervention Grantees > Training and Technical Support to ICJIA Violence Prevention & Intervention Grantees

Unique ID

SP0070753

Funding Award

Help

Active

Training and Technical Support to ICJIA Violence Prevention & Intervention Grantees

Navigate to SmartForm here

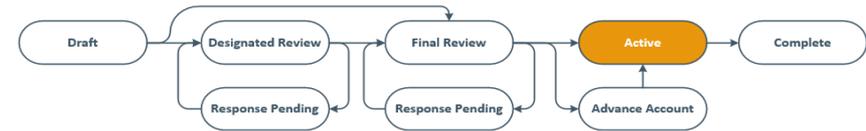
PD/PI: Heather Risser
Specialist: Susan E. Held
Award Date: 6/30/2022
Start Date: 4/15/2022
End Date: 4/14/2023
Sponsor Award #: #822037
Designated Reviewer: Sara Krentz
Award Approver: Sara Krentz
Approving Dept/Div/Institute: Psychiatry
Administrative Contact: Zoe Vanella
Direct Sponsor: Illinois Criminal Justice Information Authority
Prime Sponsor: Department of the Treasury

Current Total Project Period Commitment:
Current Total Award Amount To Date:

Linked Funding Proposals

ID	Name	Principal Investigator	Status
FP-SP0070753	Training and Technical Support to ICJIA Violence Prevention & Intervention Grantees	Heather Risser	Awarded

OnBase Message Center
Status:
Link: Click here to access the OnBase folder



- View Award
- Printer Version
- Request Award Modification
- Create Continuation
- Terms And Conditions
- Set Award Relationships
- Manage Deliverables
- Manage Relationships
- Manage Tags
- Create Agreement
- Send Email
- Manage Access
- Assign Designated Reviewer
- Log Private Comment
- Log Comment

Award Template

Modifications	Totals	Funding Allocations	Deliverables	Subawards	Child Awards	Related Projects	Reviewers	Correspondence	...
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Name	SmartForm	Execute Activity	Date Modified	State	Submitter	Specialist
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Award SmartForm

- Compare <<
- General Award Information
- Related Items
- Financial Setup
- Budget Allocations
- Budget Reconciliation**
- Personnel
- Effort
- Terms And Conditions
- Additional Award Information
- Deliverables
- Compliance Review
- Completed Award

Go to forms menu Print

Award / Authorized Budget Reconciliation

Should be equal

All Allocations		Current Allocation	
Total Sponsor Awarded to Date: ?	\$105,600	Total Sponsor Awarded: ?	\$105,600
Total Reconciled to Date:	\$105,600	Total Reconciled: ?	\$105,600
Difference:	\$0	Difference: ?	\$0

Select the Budget Allocation to display: ?

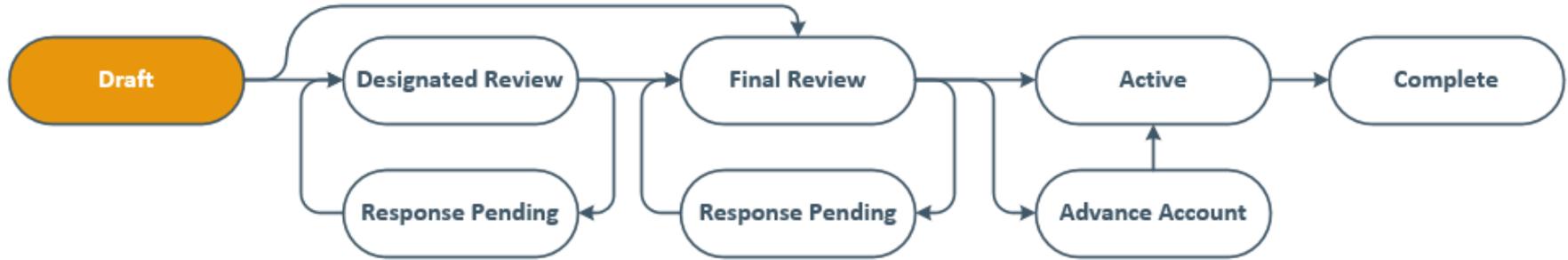
project 1 year 1

Start Date: 12/1/2022

End Date: 11/30/2025

Budget Categories	Baseline	Rollup Adjustments	Adjustments	Active Allocation Total
Academic Personnel	60010	\$0	\$0	\$0
Non-Academic Personnel	60100	\$0	\$0	\$0
Fringe Benefits	60180	\$0	\$0	\$0
Salaries Restricted	60200	\$0	\$0	\$0
Non-Academic Temp, Work Study	60210	\$0	\$0	\$0
Employee Human Subject Costs	60220	\$0	\$0	\$0
NIH Modular Direct Cost Budget	72800	\$0	\$0	\$0
Industry Clinical DC Budget	72900	\$80,000	\$0	\$80,000
Supplies	73000	\$0	\$0	\$0
Supplies, Restricted	73001	\$0	\$0	\$0

CERES Award Workflow



- If departments need to take an action on an award (completing reconciliation on the award), the award will be in Draft.
- Award will be finalized before leaving Draft state.
- Designated review will not be used.
- Only the Awards Management team will complete and approve awards.
- Departments can look at the award workspace to see where an award setup is in the process.
- When award setup is complete, the RA and PI will receive an award notice.

Award Modifications & Award Modification Requests

Requesting an Award Modification

Grants > The LSST Data Science Fellowship Program > The LSST Data Science Fellowship Program

Help

Active

Next Steps

- View Award
- Printer Version
- Request Award Modification**
- Create Continuation

Terms And Conditions

Manage Tags

Send Email

Manage Access

Log Comment

Award Template

The LSST Data Science Fellowship Program

SP0038886

Funding Award

PD/PI:	Vicky Kalogera	Award Date:	6/16/2016
Specialist:	Susan E Held	Start Date:	8/1/2016
		End Date:	12/31/2024
Desi Award:	Sara Krentz	Sponsor Award #:	PO N931250-L
App Administrative Contact:	CIERA - Ctr Int Exp Rsch Astr Peter Anglada		
Direct Sponsor:	LSST, Inc.		
Prime Sponsor:			
Current Total Project Period Commitment:	\$500,000		
Current Total Award Amount To Date:	\$500,000		

Start a mod request here

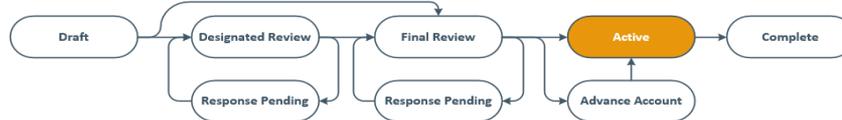
Awarded Funding Proposals:

ID	Name	Principal Investigator	Status
FP-SP0038886	The LSST Data Science Fellowship Program	Vicky Kalogera	Awarded

OnBase Message Center

Status:

Link: [Click here to access the OnBase folder](#)



- Modifications**
- Totals
- Funding Allocations
- Deliverables
- Subawards
- Child Awards
- Related Projects
- Reviewers
- Correspondence
- History**
- ...

Modification Requests

Name	SmartForm	Execute Activity	Date Modified	State	Submitter	Specialist
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Current vs Future State: Change Requests

Award-Related* Change Requests in InfoEd	Award Modification Request Type in CERES
Award Relinquishment/Transfer	Award Relinquishment/Transfer
No-Cost Extension	No-Cost Extension
Personnel – Effort Change	Personnel – Effort Change
Personnel – Extended Absence	
Personnel – PI Change	
Personnel – Change Department	Department Change
Budget – Carry Forward	Carryforward
Budget – Open New Categories	Open Account Codes
Budget – Revised Award Budget	Rebudget
Budget – Revised Submitted Proposal Budget	<i>N/A: Retired</i>
Prespending (for existing awards only)	At-Risk Spending
Revised Statement of Work	Scope Change

*Current-state change requests related to proposals will be handled through a variety of activities in CERES, to be covered in more detail in training.

Completing & Submitting Award Modification Requests

Creating New: Award Change Request Go to forms menu Help

Request Details

1. * Short title:

2. Date requested:
2/1/2023

3. * Full description of requested changes:

4. Supporting documents:

Name
There are no items to display

5. Specialist:
Susan E Held

6. * Select request type(s):

- Award Relinquishment or Transfer
- Carryforward
- Scope Change
- Department Change
- No-Cost Extension
- Rebudget
- Prespending
- Open Account Codes
- Personnel-Effort Change
- Subaward Personnel Change

Save the page to see additional SmartForms to be completed

Tracking Award Mod Requests

State of the request

Grants > Renewal JA - Wei-Keng Liao > Renewal JA - Wei-Keng Liao > NCE Help

Approved

View Modification Request

Printer Version

Copy

Log Comment

Award Change Request

NCE

AMR00000040Modification Request

Submitted By: Frank Cutting	Request Date: 9/30/2024
Specialist: Alyssa Morren	Award: Renewal JA - Wei-Keng Liao (SP0078508)

▼ OnBase Message Center

Status: Could not find any d

Link: no link yet

History	Attachments	
Activity		
Approved	Morren, Alyssa	1/31/2023 2:35 PM
Clarifications Submitted	Cutting, Frank B	1/31/2023 2:31 PM
Woo hoo		
Clarifications Requested great use of funds, no notes here.	Morren, Alyssa	1/31/2023 2:21 PM
Request Submitted to Specialist	Cutting, Frank B	1/31/2023 2:02 PM
Specialist Assigned	Krentz, Sara L	1/31/2023 2:00 PM

Award modification request history

Tracking Award Mod Requests

Grants > The LSST Data Science Fellowship Program > The LSST Data Science Fellowship Program

Help

Active

The LSST Data Science Fellowship Program

SP0038886 Funding Award

Next Steps

- View Award
- Printer Version
- Request Award Modification**
- Create Continuation

- Terms And Conditions
- Manage Tags
- Send Email
- Manage Access
- Log Comment

PD/PI: Vicky Kalogera
 Specialist: Susan E Held
 Award Date: 6/16/2016
 Start Date: 8/1/2016
 End Date: 12/31/2024
 Sponsor Award #: PO N931250-L

Start a mod request here

Modification Requests

Name	SmartForm	Execute Activity	Date Modified	State	Submitter
Award Modification Requests	[Form]	Execute Activity...	2/8/2023 3:11 PM	Draft	Andrew Kienitz
OnBase Test AMR	[Form]	Execute Activity...	2/2/2023 12:31 PM	Approved	Andrew Kienitz
NCE	[Form]	Execute Activity...	1/31/2023 2:25 PM	Declined	Beata Samejko
OB Test	[Form]	Execute Activity...	1/31/2023 8:41 AM	Draft	Andrew Kienitz

Modifications

ID	Name	SmartForm	Date Created	Date Modified	State	Mod Type
AWD00000154-MOD008	Modification #8	[Forms]	2/3/2023 4:23 PM	2/6/2023 4:33 PM	Withdrawn	Demographic Changes Only
AWD00000154-MOD006	Modification #5: NCE	[Forms]	2/2/2023 9:24 AM	2/2/2023 9:36 AM	Final Review	No Cost Extension



- Modifications**
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- History**
- ...

Modification Requests

Name	SmartForm	Execute Activity	Date Modified	State	Submitter	Specialist
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Cognos Report Changes

Rob Hartzler & Aditi Singru

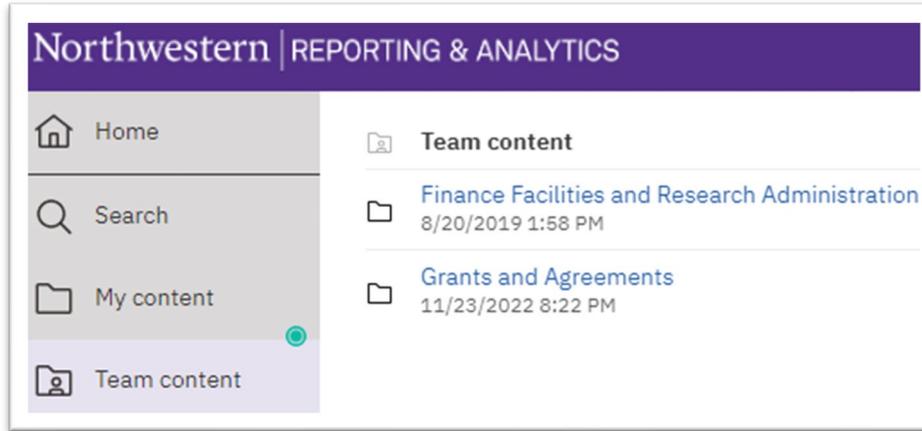
Cognos Report Changes - Overview

What's changed:

- Report Folder
- Report Numbers and Names
- Historical Data
- Limited Enhancements

Cognos Report Changes – Report Folder

Cognos CERES Reports and Self-service solution now in "Grants and Agreements"



“Grants and Agreements” folder now contains:

- Cognos reports in one folder, grouped by subject area
- Links to related reports such as ER001 - Committed and Certified Effort and GM092 - Subcontract Monitoring Report
- Self-Service Reporting

Cognos Report Changes – Proposal Reports

CERES Report Number and Name	InfoEd Report Number and Name
GA101 - Proposal Detail	GM071 - Monthly Proposal Detail GM052 - Faculty Proposal Activity GM074 - Proposal Analysis
GA102 - Proposal Summary by Department	GM066 - Proposals Summary by Management Area
GA103 - Proposal Summary by PI	New
GA104 - Proposal Summary by Sponsor	GM065 - Proposals Summary by Sponsor Type GM048 - Sponsor Trends: Proposals

Testing began February 7th (ends February 20th)

Cognos Report Changes – Award Reports

CERES Report Number and Name	InfoEd Report Number and Name
GA201- Award Allocation Detail	GM064 - Monthly Award Detail GM057 - Faculty Award Activity GM072 - Award Analysis
GA202 - Award Allocation Summary by Department	GM067 - Awards Summary by Management Area
GA203 - Award Allocation Summary by PI	New
GA204 - Award Allocation Summary by Sponsor	GM063 - Sponsor Trends: Awards GM068 - Awards Summary by Sponsor Type

Testing completed (January 23rd to February 6th)

Cognos Report Changes – Agreement Reports

CERES Report Number and Name	InfoEd Report Number and Name
GA301 - Agreement Detail	GM053 - OSR Negotiation Metrics GM055 - Negotiation Status Report
GA302 - Agreement Summary by Department	New
GA303 - Agreement Summary by PI	New
GA304 - Agreement Summary by Agreement Type	New

Testing begins March 3rd

Cognos Report Changes – Current, Pending, and Completed Support

CERES Report Number and Name	InfoEd Report Number and Name
GA401 - Current Awards - All Roles	GM060 - Current, Pending and Completed Support: Active Awards-All Roles
GA402 - Pending Proposals - All Roles	GM085 - Current, Pending and Completed Support: Pending Proposals
GA403 - Completed Awards - All Roles	GM084 - Current, Pending and Completed Award

Testing begins March 17th

Cognos Report Updates – Integrated Reports

Report Number and Name	Testing begins
GM092 - Subcontract Monitoring Report	March 3 rd
ER001 - Committed and Certified Effort	March 17 th

Cognos Report Changes - Retired

The following InfoEd reports are being retired. Similar functionality for these reports can be found in other reports or in Cognos Self-Service.

- GM030 - Award Amount Expected
- GM049 - Aging Submitted Proposals
- GM059 - Proposed and Funded Cost Share
- GM070 - Awards with Required Cost Sharing
- GM073 - Proposal Success Rate
- GM090 - Award Projection Report

Cognos Reports – Historical Data

Summary of InfoEd data Migrated to CERES

- Awards: Active
- Agreements: In Negotiation and Active
- Proposal: Limited information migrated

Data mart and Cognos Reports will

- Include data from CERES and InfoEd
- Include from InfoEd: All Proposals, Completed Awards and Agreements
- Support longitudinal reporting

Award historical data will be available in Cognos on **February 22nd**.

CERES Q&A

Submit your questions about CERES via this SmartForm:



We will follow-up and add new FAQs monthly to the SRATP [CERES FAQs](#) page.

Join us for the
next CLEAR Meeting:

Tuesday, April 11, 10:00am

Topic: CERES Go Live