

CLEAR Meeting April 11, 2023

Hosted by Sponsored Research

Today's Agenda

- Announcements
- Proposal Review Business Process in CERES
- CERES Go-Live
 - Preparing for Go-Live
 - System Demos
 - Resources

Upcoming NIH Due Dates

Due Date	Description
May 25	 All - new, renewal, resubmission, revision Program Project Grants and Center Grants (P-series) Research Demonstration Edu. Projects (R18, U18, R25) Institutional National Research Service Awards (T-Series) Multiple other activity codes (C, D, G, S, U)
June 5	R01 (new)U01 (new)
June 12	Research Career Development (new) K-series
June 16	 Other Research Grants and Cooperative Agreements (new) R03, R21, R33, R21/R33, R34, R36, U34, UH2, UH3, UH2/UH3

Authorized Institutional Official

- Andrea Zakrzewski is Northwestern's Authorized Institutional Official until a permanent AVP Sponsored Research is installed
- Current info is always listed on the SR website on the page: <u>Institutional Information</u>



CERES Cutover Intake Form

- Form to request action from Sponsored Research on new, sponsored-initiated, time-sensitive items received after the cutoff date for submission in InfoEd.
- Not a prioritization request. "New" means not previously entered into InfoEd.
- Details will be sent to the Sponsored Research listserv and posted on SR website later today (April 11 afternoon).

Northwestern SMARTSHEET

InfoEd-CERES Cutover Intake Form

This form should be used between April 11 - 21, 2023 to request action from Sponsored Research (SR) on **new**, **sponsored-initiated**, **time-sensitive items** received after the cutoff date for submission in InfoEd, e.g., a new contract received on April 14 that requires action by April 21.

This form is not a prioritization request. "New" means not previously entered into InfoEd. "Time-sensitive" means action needs to occur before CERES goes live on April 24.

Do not use this form to submit requests for:

- Prioritization of in-progress actions
- Advance accounts
- Non-urgent items

Request Resubmission

Decisions are final. If your request is denied and you subsequently receive additional information regarding its urgency, submit a new request that includes this information, and it will be reassessed.

Note: Denied requests will not be transferred to CERES. If your request is denied and you still require SR action as of April 24, you will need to submit the request in CERES.

Proposal Review Business Process in CERES

Proposal Review Comments Location

S2S Proposals

For S2S, the SRO will log their comments in these specific locations:

- CERES SmartForm fields
- Funding Proposal (Budget & SF424 workspace comments)
- Clarification Requested activity comments

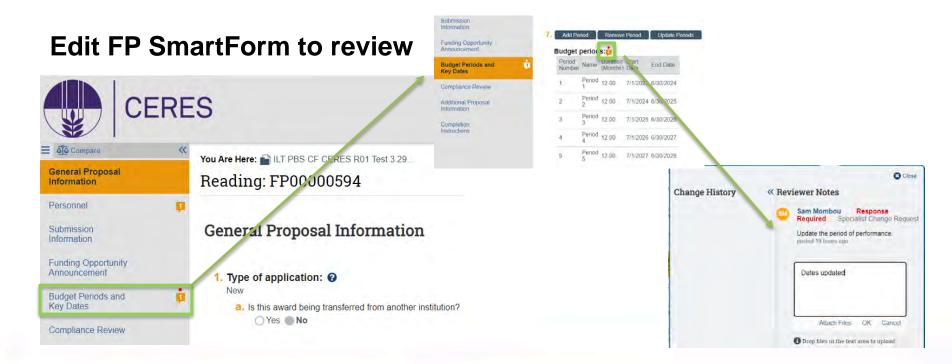
Non S2S Proposals

For non-S2S, the SRO will log their comments in these specific locations:

- CERES SmartForm fields
- •Funding Proposal—Budget workspace comments
- Clarification Requested activity comments

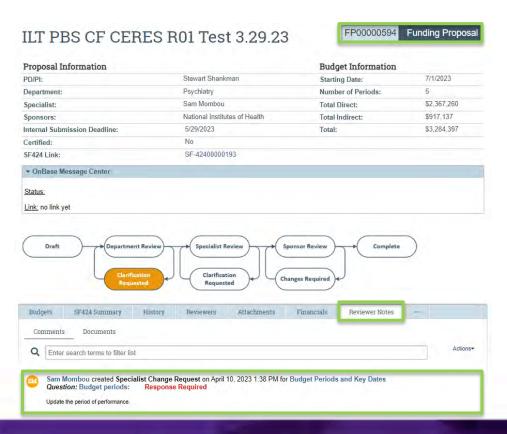


Field-Level Review Comments





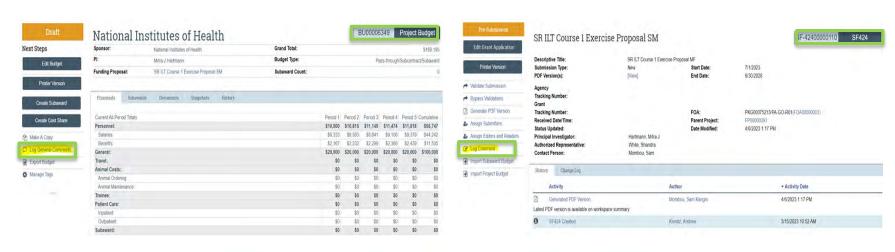
Field-Level Review Comments



Review Comments—SR Lens

Funding Proposal—Budget

Funding Proposal—SF424

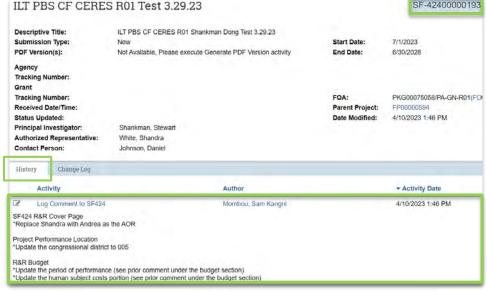


Review Comments—RA View

Funding Proposal—Budget

Funding Proposal—S424





CERES Go-Live

Agenda

Preparing for Go-Live..... Kim Griffin

- Conversion Scope + Cutover Details
- Open Labs & Office Hours

CERES System Review & Demos...... Andrew Kienitz

CERES Resources Lia Floreno

When CERES goes live on April 24, the Northwestern community will be learning how to adjust to the change from InfoEd to CERES together.

Please practice patience with your colleagues and yourself as everyone learns how to work together with this new system.

Conversion Scope + Cutover Details Kim Griffin

Senior Director, Business Systems & Operations
Sponsored Research



Conversion Scope

In-Scope	Out-of-Scope
 Conversion of all active awards including projects and project budgets. A corresponding funding proposals to facilitate follow-on activities such as Continuations and Supplements. Conversion of subawards for all active awards Conversion of all active and in process agreements from InfoEd Legacy InfoEd ID to support the ability to construct a dynamic URL to corresponding folder in OnBase Relating converted Awards to protocol submissions using existing funding linkages 	 Conversion of in-process & pending proposal data Conversion of unfunded proposal data Conversion of completed agreements Conversion of amendments related to agreements

Cutover: Proposals

Deadline for SR Receipt	Thursday 4/13/23 5 pm
Converting to CERES?	No – proposals are not in scope for conversion. Anything that has not reached Submitted status will have to be recreated in CERES. InfoEd proposals with a submit date will be reportable from the data mart.

Cutover: Subcontract Requests

Deadline for SR Receipt	Thursday 4/13/23 5 pm				
Converting to CERES?	Yes – but only the agreement screens, not the request for a subcontract. Subcontract requests arriving by the cutoff will not need to be re-entered in CERES. SR will make agreement records for these in InfoEd that will convert, and the original request in InfoEd will still be accessible in read-only mode for reference.				

Cutover: Agreements

Deadline for SR Receipt (MTA/DUA/CDA)	Thursday 4/13/23 5 pm
Converting to CERES?	Yes – but only the agreement screens, not the proposal tracking records (non-funded negotiation) routed to request the agreement.

Cutover: Award Setup (including entering changes)

Deadline for SR Receipt – Return of Awards Waiting Dept	Monday 4/10/23 5 pm
Converting to CERES?	Awards with active chart strings in PeopleSoft will be converted to CERES.

Cutover: Change Requests

Deadline for SR Receipt	Monday 4/10/23 5 pm
Converting to CERES?	No – change requests are not in scope for conversion. CRs received by 4/10 that are actionable will be processed either in InfoEd or in CERES (as award modifications without need for re-entering an award modification request). CRs received by 4/10 that require further clarification from the dept will need to
	be resubmitted in CERES after go-live.

Open Labs & Office Hours

Andrew Kienitz

Huron CERES Implementation and Training Team Member

CERES Open Labs

Open Labs are open to all Research Administrators participating in in-unit training. These Open Labs are intended for participants to ask CERES-related questions and request demonstrations in CERES.

Please join the final two CERES Open Labs:

Tuesday, April 11th from 1pm – 2pm Tuesday, April 18th from 1pm – 2pm

Join here!

CERES Office Hours

Office Hours are open to all CERES users following
Go-Live on April 24.
The meeting link will be available on the SRA Program
Website.

Office Hours are currently scheduled Monday through Friday from 10AM to 1PM from April 24- May 5.

The Office Hours schedule beyond May 5 will be adjusted based on community need.

CERES System Review & Demos

Andrew Kienitz

Huron CERES Implementation and Training Team Member

Dept Reviewer Approval Process Overview



PI Certification Emails



The proposal will be in the PI's inbox so long as they have not certified.

PI Certification Emails

FP00000449: PI Certification Required



no-reply@huronclick.com

To 👂 d-kozlowski@northwestern.edu; \varTheta Kavita Kalra; 🗶 Andrew Kienitz

If there are problems with how this message is displayed, click here to view it in a web browser.

Further Guidance:

CertifyProposalCompletion.mp4 Grants_Job Aid_CertifyProposal.pdf

Andrew Kienitz; Monika Lagaard To:

Link: FP00000449

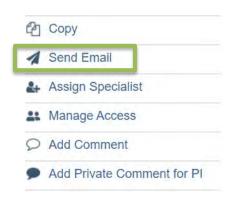
PI: Andrew Kienitz

Title: ATK Resub Test Proposal KK

Andrew Kienitz is named as the PD/PI on an upcoming proposal submission and certification is needed per Federal regulations.

To provide PI Certification, click the link above and complete the Certify activity.

Send Email Activity

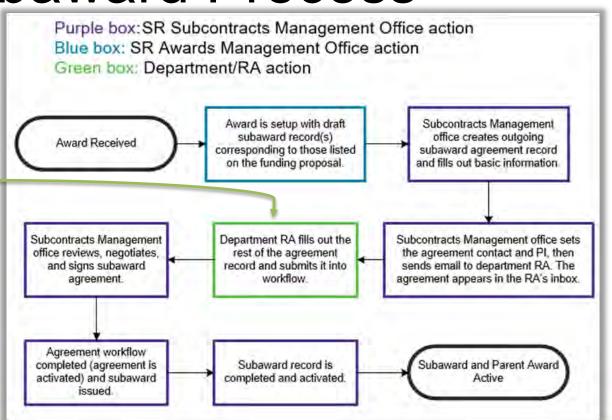


* Email subject line:			
* Select at least one g	group of recipients:		
☐ All team members			
☐ All editors			
☐ All readers			
☐ Specialist			
Select any other rec	ipient for this email: (Only	editors and readers have access	to the funding proposal.)
	Last Name	E-Mail	
First Name			
First Name There are no items to	display		

Subaward Process

Note:

RAs are only responsible for filling out the remaining pages and submitting subcontract agreements



Miscellaneous Questions & Additional Demos

CERES Resources

Lia Floreno

Training Specialist
Sponsored Research

Ancillary Review Matrices

	Ancillary Rev	Matri	x - Agreeme	nts		Required Documentation	n NA	atrix	- Gr	ants		Posponse	Required Documentation
	Ancillary Rev	iew Matri	Individual Person or	er	Respons Require	Ancillary Reviev	A IAI	acris	Award	Initiat	Individual Personal Approver	Require	Refer to the COI Proposal Figure
	Ancillary Review Type		Organization Approve		Yes	Ancillary Review Type	, in	AWD	Mod	Q	Org: Anc Rvw-Conflict Of Interest		Refer to the COI Proposal Preparation
	COI Determination		Interest Org: Anc Rvw-Export C	Control	Yes Rev	COL-COI Determination	X	u u	×	Q	Org: Anc Rvw-Conflict Of Interest		Refer to the COI Proposal Preparation
	Needed	SR RA		Anciliar		Needed COI-Organizational COI	Х	Х			Org: Anc Rvw-Conflict Of Interest		Refer to the COI Proposal Preparation
R Signatur		SR	Person: Varies		Award COI Cle	COL-Same-day COI	X			[RA]	Org: Anc Rvw-Conflict Of Interest		website. Refer to the SR Cost Sharing website
	Northwestern Central		Person: Varies	Risk Mai		Determination	X		_	(RA I	Ora: Select submitting	100	policy on F&A budget and/o
	Administration		Org: Anc Rvw-Environ Health and Safety	SR Esca	Safety	COLEXCEDA	X			RA	Org: Anc Rvw-F&A	100	is embedded in either, any one reques
	and Safety		Org: Anc Rvw-FSM Re	Tox	Export Control Global Marketi		X			8	Waiver		or necessary for review.
	FSM Regulatory Affairs	SR SR	Org: Anc Rvw-Global I		INVO-Tech Tra	Fan					Org: Anc Rvw-Pl	100	confirmation allowed funds will ande
	Global Marketing & Comms	-	Org: Anc Rvw-INVO	randi	IT Security	4.000	X			000	Eligibility		confirms disalioned covered from non-sponsored funds, covered from non-sponsored funds, justification/rationale for the individual to be PI/Co-I
	INVO-Tech Transfer	SR	Org: Anc Rvw-IT Secu	Commen	Northwestern (Administration Office of Equit)								
	IT Security	SR			Office of Education								

Available in the CERES Training SharePoint Folder

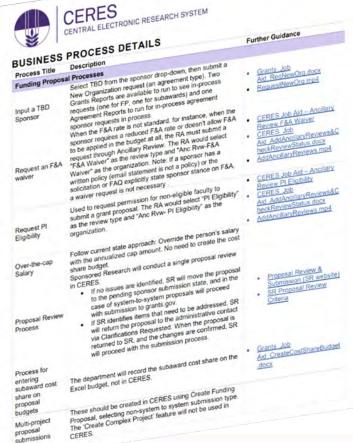
Business Process Details

Available in the CERES Training SharePoint Folder

Includes Business Processes for:

- Funding Proposals
- Pending Sponsor Review
- Agreements
- Awards
- Award Modifications





Reference Guides

Reference Guides will be published by Go-Live:

- Grants Reference Guide for RAs
- Agreements Reference Guide for RAs

Available in the **CERES Help Center**

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	Check the Progress of an Ancillary Review						
ating and Submitting Funding Proposals, Budgets, and SF424s	Submit an Ancillary Review						
Funding Proposal WorkflowAd	dditional Proposal Activities						
Creating Funding Proposals	Send an Email						
Create a Funding Proposal	Add a Comment Table of Contents						
Request a New Organization from a Funding Proposal	Add a Comment Table of Sneak Peek Sneak Peek						
Creating Budgets	Copy a Proposal						
Complete a Budget	Withdraw a Proposal						
Create an Additional Budget	Respond to a Just-in-Time (JIT) Request						
Create a Subaward Budget	Request an Advance Account						
Import a Subaward Budget	Updating the Funding Proposal with the Sponsor Determination \ldots						
Export a Subaward Budget to a Grants.gov PDF Form	Create an Agreement from a Funding Proposal						
Create a Cost Share Budget Fo	ollow-On Submissions						
Creating and Updating SF424 Forms	Create a Continuation Proposal from an Active Award						
SF424 Workflow Submit a Funding Proposal fo	Create a Renewal Proposal						
Create an SF424 Form Reviewing Funding Proposals	Create a Revision to a Proposal						
Update an SF424 Form Review a Funding Propos	Create a Resubmission Proposal						
Add Attachments to a Funding Proposal Request Changes or Addition	nal Information						
Manage Relationships Respond to a Clarification Re	quest						
Manage Tags Review Clarification Request	Responses						
Review and Certify a Funding Proposal Submit a Department Review	v						
Managing Ancillary Reviews							

Add Ancillary Reviewers

CERES Q&A

Submit your questions about CERES via this SmartSheet Form:



We will follow-up and add new FAQs monthly to the SRATP <u>CERES FAQs</u> page.

Join us for the next CLEAR Meeting:

Tuesday, June 13, 12pm