

SUBRECIPIENT COMMITMENT FORM – PHS FLOW-DOWN

Northwestern Completes this Section

Northwestern Principal Investigator	
InfoEd Record Number	
Project Title	
Prime Sponsor	
Subrecipient Institution	
Subrecipient Principal Investigator	
Subrecipient Proposed Project Dates	

Subrecipient Completes this Section

	Initial Year Budget	Cumulative Project Budget
Total Direct Costs		
Total F&A Costs		
Total Direct + F&A Costs		
Cost Share <input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, attach cost share commitment letter)		
UEI:	EIN:	
Congressional District:	Cognizant Audit Agency:	
F&A Rate Agreement Date:	F&A Rate:	
Subrecipient Institution is (select all applicable options)	<input type="checkbox"/> For-profit <input type="checkbox"/> Non-profit <input type="checkbox"/> Foreign <input type="checkbox"/> Government <input type="checkbox"/> Other _____	
Subrecipient Work Involving Research Subjects (select applicable options)	<input type="checkbox"/> Human Subjects <input type="checkbox"/> Vertebrate Animals <input type="checkbox"/> No Human Subjects or Vertebrate Animals	

The following documents must accompany the completed subrecipient commitment form:

<input checked="" type="checkbox"/> Statement of Work	<input checked="" type="checkbox"/> Detailed Budget	<input checked="" type="checkbox"/> Budget Justification
<input type="checkbox"/> Other (list all):		

Conflict of Interest

(Applicable to NIH, other PHS sponsors, or non-PHS federal/non-federal sponsors that have adopted the PHS financial disclosure requirements)

<input type="checkbox"/> Subrecipient Organization/Institution certifies that it has an active and enforced conflict of interest policy that is consistent with the current provision of 42 CFR Part 50, Subpart F "Responsibility of Applicants for Promoting Objectivity in Research" and will rely on said policy and associated procedures to comply with this regulation for this specific research activity, including training, disclosure, and reporting requirements. If Subrecipient is not included in the FDP Clearinghouse (http://thefdp.org/default/fcoi-clearinghouse/compliant-entities/), provide a copy of the institutional policy for review and verification.	
<input type="checkbox"/> Subrecipient does not have an active and/or enforced conflict of interest policy and agrees to abide by Northwestern's policy (located at http://www.northwestern.edu/coi/) for Subrecipient Investigators engaged in this specific research activity. For purposes of establishing an account in Northwestern's online disclosure system, please provide investigator(s) information below	
Name	Email Address

The appropriate programmatic and administrative personnel of subrecipient institution involved in this grant application are aware of the prime sponsor's consortium policy and are prepared to establish the necessary inter-institutional agreements consistent with that policy.

Signature of Subrecipient's Authorized Official (Date)

Name and Title of Subrecipient's Authorized Official

REMINDER: For NIH Proposals eRA Commons accounts are required for all investigators (<https://www.northwestern.edu/coi/policy/index.html>). Please return completed and signed subrecipient commitment form and accompanying documents by email to:

Name:

Email Address: